Primary Client

______________________ ______________________ ________________

First Name Middle Name Last Name

____

Legal Address

___________________________ ______________________ ______________________

City State Zip Code

___________________________ ______________________

Home Phone Work Phone

☐ Male ☐ Female

____

Date of Birth

____

Married / Single / Domestic Partner / Divorced / Widowed

____

Email Address

Secondary Client

___________________________ ______________________ ______________________

First Name Middle Name Last Name

____

Legal Address

___________________________ ______________________ ______________________

City State Zip Code

___________________________ ______________________

Home Phone Work Phone

☐ Male ☐ Female

____

Date of Birth

____

Email Address

Employment Status

☐ Employed ☐ Self-employed ☐ Non-employed ☐ Retired ☐ Other

___________________________________ ________________________________

Business Type Occupation

_____________________________________ ________________________________

Employer Years with this Employer

____

Business Address

___________________________ ______________________ ____________________

City State Zip Code

Financial Information

☐ Own ☐ Rent ☐ Live with parents

___________________________ ______________ ______________________

Mortgage Amount Interest Rate Years Left on Mortgage

______________________________ ______________ ______________________

Additional Mortgage (Home Equity) Interest Rate Years Left on Mortgage

Auto Loan (1) Amount	Interest Rate	Years Left on Auto Loan (1)
Auto Loan (2) Amount	Interest Rate	Years Left on Auto Loan (2)
Auto Lease (1) Amount	Monthly Pmt	Years Left on Auto Lease (1)
Auto Lease (2) Amount	Monthly Pmt	Years Left on Auto Lease (2)

Credit Card Debt

Credit Card	Amount Outstanding	Interest Rate
Credit Card	Amount Outstanding	Interest Rate
Credit Card	Amount Outstanding	Interest Rate

Student Loan Debt

Student Loans	Amount Outstanding	Interest Rate

Investments

Investment Knowledge: ☐ None ☐ Limited – 1 to 5 years ☐ Moderate – 6 to 9 years ☐ Extensive – 10+ years

	Primary	Secondary		Primary	Secondary
Checking Balance	☐	☐	IRA Account Value	☐	☐
Savings Balance	☐	☐	ROTH IRA Value	☐	☐
Ind Investment Account Value	☐	☐	Beneficiary IRA Value	☐	☐
Joint Investment Account Value	☐	☐	SEP IRA Value	☐	☐
401k Plan Accounts Value	☐	☐	Defined Benefit Plan Value	☐	☐
401k Plan Accounts Value	☐	☐	Other Investments	☐	☐
457 Plan Account Value	☐	☐	Other Investments	☐	☐
457 Plan Account Value	☐	☐	Other Investments		

Life Insurance

Term Life	☐	☐	Whole Life	☐	☐
Variable Life	☐	☐	Universal Life	☐	☐

Additional Documents and Data

Most Recent NYC Pension Estimate Yes ☐ No ☐
If retiring with 3/4 pension, we need the pension estimate If 3/4's Yes ☐ No ☐

NYC Deferred Compensation Plan Statement - Most Recent Quarter Yes ☐ No ☐

Union Annuity Fund Statement - also known as an Accrual Fund Yes ☐ No ☐

If you are a divorced pre-retiree Yes ☐ No ☐
If applicable, a copy of the QDRO as it applies to the pension.

Current Mortgage Statement Yes ☐ No ☐

Additional Comments / Questions

<u>When Planning For Retirement...</u>

Will you be looking for a new occupation? Yes ☐ No ☐

Do you currently own a business? Yes ☐ No ☐

Do you plan on starting or buying a business when you retire? Yes ☐ No ☐